

ATTORNEY DOCKET NO.: 3610-16 (d5228-00016)

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Jean Marc GILSON**

Serial No.: 09/912,635

Examiner: not yet known

Filed: 07/25/2001001

Group Art Unit: 1713

For: **POLYMERISATION REACTOR AND PROCESS**

DATE OF DEPOSIT:

October 3, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE
- U.S. POSTAL SERVICE FIRST-CLASS MAIL UNDER 37 C.F.R. 1.8 ON THE DATE
INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER
FOR PATENTS, WASHINGTON, D.C. 20231

Lewis F. Gould, Jr.
LEWIS F. GOULD, JR., REGISTRATION NO. 24,057

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
OCT 10 2001
TC 1700

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR.
§ 1.56 in connection with the above-identified application, which statement is being
filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the
filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action
under §1.113 or Notice of Allowance under §1.311, whichever occurs first.
[37 CFR § 1.97(c)]

- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.

- ☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$240.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

☐ **Certification Under 37 CFR § 1.97(e)**

Each item of information contained in this statement was either

- (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Provision of Copies of References

- ☒ A copy of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.

- ☒ A partial translation of (translation of the Abstract only) are enclosed herewith and forms a part hereof.

- ☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ A copy of the Search Report from a corresponding or related German application is enclosed herewith.
- ☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

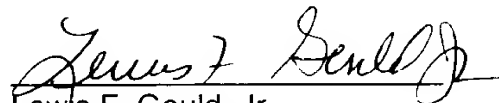
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application Serial No. _____, filed on _____. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:
- _____
- _____
- _____

- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to **Deposit Account No. 04-1679**. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date:

October 3, 2001



Lewis F. Gould, Jr.
Registration No. 25,057
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Philadelphia, PA 19103-7396
(215) 979-1282

Docket No: 3610-16 (D5228-00016)

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| Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office | | | Docket No. 3610-16 (D5228-00016) | | | Serial No. 09/912,635 | | |
| SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary) | | | Applicant: Jean Marc GILSON | | | | | |
| | | | Filing Date: 07/25/2001 | | | Group Art Unit: 1713 | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | AA | 3,839,388 | 10/01/1974 | S.NITZSCHE, ET AL | 260 | 448.2 | | |
| | AB | 4,250,290 | 02/10/1981 | PETERSON | 528 | 14 | | |
| | AC | 4,564,693 | 01/14/1986 | RIEDERER | 556 | 401 | | |
| | AD | 5,319,120 | 06/7/1994 | GILSON, ET AL | 556 | 453 | | |
| | AE | 5,504,150 | 04/2/1996 | GILSON ET AL | 524 | 837 | | |
| | AF | 4,701,490 | 10/20/1987 | BURKHARDT ET AL. | 524 | 425 | | |
| | AG | 3,160,601 | 12/8/1964 | HYDE ET AL. | 260 | 46.5 | | |
| | AH | | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION (ABSTRACT) YES NO | |
| | AK | EP 0,982,346 | 08/25/1999 | EUROPE | C08G | 77/08 | X | |
| | AL | EP 0,860,461 | 02/02/1998 | EUROPE | C08G | 77/08 | X | |
| | AM | EP 0,382,365 | 01/02/1990 | EUROPE | C08G | 77/08 | X | |
| | AN | EP 0,522,776 | 06/30/1992 | EUROPE | B01J | 4/00 | X | |
| | AO | EP 0,657,209 | 05/12/1994 | EUROPE | C08G/ | 77/06 | X | |
| OTHER (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | | | |

Form PTO-1449 U.S. Department of Commerce
Patent and Trademark Office

Docket No. 3610-16 (D5228-00016)

Serial No. 09/912,635

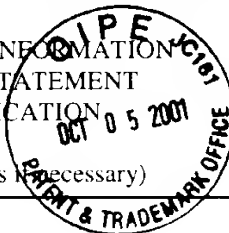
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
IN AN APPLICATION

(Use several sheets if necessary)

Applicant: Jean Marc GILSON

Filing Date: 07/25/2001

Group Art Unit: 1713



U.S. PATENT DOCUMENTS

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|----------------------|----|-----------------|------|------|-------|----------|----------------------------------|
| | AA | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION (ABSTRACT) YES NO | |
|--|----|-----------------|------------|----------------|-------|----------|---------------------------------------|---|
| | AK | DE 39 14912 | 11/08/1990 | GERMANY | C08G | 77/10 | | X |
| | AL | GB 918,823 | 07/28/1960 | UNITED KINGDOM | 2 | 7 | X | |
| | AM | GB 1,174,219 | 12/17/1969 | UNITED KINGDOM | B1X | 3X6 | X | |
| | AN | GB 1,398,034 | 05/02/1973 | UNITED KINGDOM | C08F | 236 | X | |
| | AO | GB 2,252,975 | 08/26/1992 | UNITED KINGDOM | C08G | 77/08 | X | |

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AT | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.